

# Compliance Matters

WEDNESDAY  
**DEC. 15**  
2021  
2-3 PM ET

No registration  
needed

[CLICK HERE  
TO JOIN](#)

on December 15

Join the SEC's Atlanta Regional Office, Division of Examinations, to discuss fiduciary duty and fee issues to help all CCOs be successful!

- ACQUIRE information on Fee Types, Fee Calculations and Fiduciary Duty
- LEARN about issues with Fee Compliance and Disclosure
- MEET (Virtually!) ARO Examinations staff
- HEAR ARO Examinations staff discuss Common Fee Examination Findings
- **Bonus: Question and answer session on fee issues important to CCOs!**

We hope you will [join us](#) for this exciting live event (this event will NOT be recorded).



U.S. SECURITIES AND EXCHANGE COMMISSION